



ORDINANCE-SECEX NO 24 OF JUNE 19, 2023

Approves guidelines on citizen participation in the framework of the actions of the General Secretariat of External Control.

THE GENERAL SECRETARIAT OF EXTERNAL CONTROL, exercising the powers conferred upon it by Article 31 of Resolution-TCU no. 347 of December 12, 2022,

considering citizen participation is one of the guidelines of the Court's management;

considering the need to systematize the forms of citizen participation in cooperation with the activities of external control and to give symmetry to the understanding of what actions should be considered as citizen participation in the scope of Segecex;

considering the provisions of Ordinance-TCU no. 141 of April 1, 2015, which defined as a strategic director “implementing partnerships with education and research centers and with sectors of organized society”;

considering the contents of the Roadmap “the TCU and the Social Control”, approved by Ordinance-TCU No. 345 of December 4, 2018;

considering the understanding recorded in the Court Decision no. 2.050/2022 – TCU – Full Court, that “the approximation between society and government bodies is not only possible, as absolutely desirable, allowing control over scarce public resources to be made more widely and under active scrutiny by representatives of society”;

considering that the TCU joined the OLACEFS Working Group and the INTOSAI Task Force, both on citizen participation; and

considering the information contained in TC 006.366/2022-8, coming from the working group established by the Segecex Instruction No. 4/2021 of September 28, 2021, which had the purpose of elaborating a strategy proposal to define and promote forms of social participation in TCU control activities, resolves:

Article 1. The guidelines on citizen participation in the scope of the General Secretariat of External Control are approved, in accordance with the terms of the Sole Annex to this ordinance, with the objective of expanding the institutional relationship with society in all phases of the actions of the external control of the TCU, as well as in panels, public dialogs and other events.



Sole paragraph. These guidelines are of a recommended nature and should be implemented whenever possible, according to the evaluation of convenience, opportunity and risks by the respective unit.

Article 2. The Secretariat of External Control for Consensual Settlement and Conflict Prevention is responsible for adopting the following measures in relation to the guidelines approved by this Ordinance:

- I - clarifying doubts;
- II - receiving suggestions for their improvement;
- III - keeping them up to date.

Article 3. This Ordinance enters into force on the date of its publication.

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SOLE ANNEX TO ORDINANCE-SECEX NO 24 OF JUNE 19, 2023

Guidelines for Citizen Participation

DESCRIPTION OF THE GUIDELINE	GUIDELINE CATEGORY
STAGE OF PREPARATION OF THE OPERATIONAL PLAN AND PLANNING OF THE AUDITS	
1. Establish an institutional relationship with citizen participation entities in all phases of the work.	Active communication policy
2. Improve the planning process by listening to citizen participation entities.	Participatory planning
3. Conduct events, especially audit planning reference panels, in the format “open to the public” and facilitate the participation of all stakeholders.	Direct participation in control
4. Evaluate with the public policy manager the possibility of adopting paths for citizen participation, in simple language and with alerts, in order to stimulate control by society.	Direct participation in control
5. Dialog with the manager in order to digitize work processes and adopt computerized systems for the management of public policies with a focus on citizen participation (gov.br portal).	Indirect participation in control
6. Consider the information provided by citizens via Ombudsman and other channels.	Indirect participation in control
IMPLEMENTATION PHASE OF THE AUDITS	
7. Conduct audit findings reference panels in the format “open to the public” and to facilitate the participation of all interested parties.	Direct participation in control
8. Partner with citizens’ participation entities, such as the Citizen Task Force (Court Decision No 2050/2022 - TCU – Full Court).	Direct participation in control
REPORTING, JUDGMENT AND MONITORING PHASE	
9. Engage citizen participation entities in the monitoring of judgments.	Monitoring and support in determinations and recommendations
10. Create simplified versions of citizen interest reports and sponsor disclosure.	Dissemination of audit results



11. Evaluate the contribution obtained with citizen participation in all phases of the work and improve the next cycle.	Internal management
OTHER APPLICABLE GUIDELINES	
12. Share public data of your area of activity with entities representing citizen participation.	Access to information
13. Conduct educational actions to stimulate citizen participation and transparency, including such measures in its Annual capacity Building Plan.	Access to information
14. Prioritize meeting requests for access to information.	Implementation of the active communication policy
15. Choose, whenever possible, the hybrid mode of events and take time-related measures for adequate disclosure.	Access to information
16. Feed the system to plan with citizen participation initiatives.	Internal management